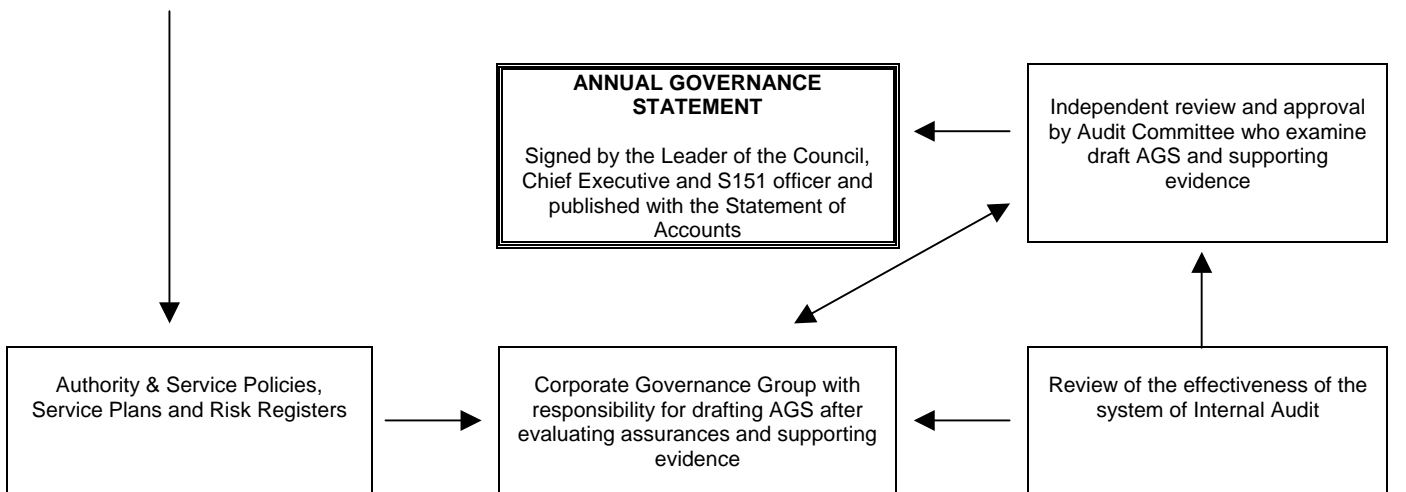


SSDC'S ANNUAL GOVERNANCE STATEMENT FRAMEWORK

Governance Framework – Key Documents/Functions

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|---|--|--|
| <ul style="list-style-type: none"> ○ Constitution ○ Corporate Plan ○ Sustainable Community Strategy ○ Local Area Agreement ○ Service Planning Framework ○ Business Transformation Projects ○ Access Strategy ○ Communication Strategy ○ Performance Management Framework ○ Schedule of Council Meetings | <ul style="list-style-type: none"> ○ Record of Decisions ○ Partnership Register ○ Code of Conduct for Members ○ Members Induction & Training Programme ○ Code of Conduct for Employees ○ Officer and Member Protocols ○ Confidential Reporting Policy ○ Code of Corporate Governance ○ Risk Management Strategy ○ Anti-Fraud & Corruption Policy | <ul style="list-style-type: none"> ○ Capital Strategy ○ Procurement Strategy ○ Medium Term Financial Plan/Budgets ○ Treasury Management Strategy ○ Annual Statement of Accounts ○ Financial Procedure Rules ○ Scheme of Delegation ○ Complaints Process ○ Equalities Plan ○ Project Management Methodology |
|---|--|--|



Performance Management & Data Quality	Risk Management	Information Governance	Legal and Regulatory Assurance	Members' Assurance
<ul style="list-style-type: none"> • Embedded system • Operates throughout the organisation • Internal and external reviews • Action orientated • National/Local KPIs • Periodic progress reports 	<ul style="list-style-type: none"> • Risk management strategy • Embedded in planning processes and project/partnership methodologies • Effectiveness evaluated • Outcomes reported to committee • Training programme 	<ul style="list-style-type: none"> • Training programme • Outcomes reported to committee 	<ul style="list-style-type: none"> • Monitoring Officer's reports • Sections of committee reports • Legal advice 	<ul style="list-style-type: none"> • Standards committee • Audit committee • Scrutiny function
Assurances by Directors/ Heads of Service	Other Sources of Assurance (including third party)	Financial Management	Internal Audit	External Audit
<ul style="list-style-type: none"> • Periodic reports • Statement of operational service internal control 	<ul style="list-style-type: none"> • Reports by inspectors • Service review reports • Fraud reports and investigations • Ombudsman reports • Post implementation reviews of projects 	<ul style="list-style-type: none"> • Medium Term Financial Plan • Revenue Budget and Capital Programme • Revenue and Capital Management reports • Treasury management • Statement of accounts • Compliance with codes of accounting practice • Statutory returns • Grant claims 	<ul style="list-style-type: none"> • Operates under approved terms of reference • Approved risk-based plans • Periodic and annual reports to Audit Committee, Group Auditor Opinion • CIPFA code compliance assessment • External Audit Review 	<ul style="list-style-type: none"> • Annual Plan • Annual Governance Report • Annual Audit and Inspection Letter • Audit Opinion and VFM conclusion • Use of Resources assessment • Ad hoc reports

Ongoing assurance on adequacy and effectiveness of control over key risks